

Applicant or Patentee : 1. Shyng-Shiou YUAN 2. Hseng-Kuang HSU 3. Yi-Chen CHIA Attorney's
Serial or Patent No. : _____ Docket No. 624-032551
Filed or Issued : _____
For: EXTRACT FROM THE LEAVES OF TOONA SINENSIS ROEM., AND THE PREPARATION PROCESS AND
USES THEREOF

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9 (f) and 1.27 (d)) —NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION KAOHSIUNG MEDICAL UNIVERSITY
ADDRESS OF ORGANIZATION No. 100, Shih-Chuan 1st Road, Kaohsiung, Taiwan

TYPE OF ORGANIZATION

- (X) University or other institution of higher education
() Tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3))
() Nonprofit scientific or educational under statute of state of The United States of America
(Name of state _____)
(Citation of statute _____)
() Would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c) (3) if located in The United States of America
() Would qualify as nonprofit scientific or educational under statute of state of The United States of America if located in The United States of America
(Name of state _____)
(Citation of statute _____)

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code with regard to the invention entitled EXTRACT FROM THE LEAVES OF TOONA SINENSIS ROEM., AND THE PREPARATION PROCESS AND USES THEREOF

by inventor(s) 1. Shyng-Shiou YUAN 2. Hseng-Kuang HSU 3. Yi-Chen CHIA
described in

- (X) the specification filed herewith
() application serial no. _____, filed _____
() patent no. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

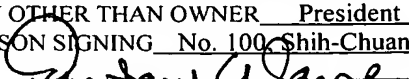
If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9 (e).

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION
NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Gwo-Jaw WANG
TITLE OF PERSON OTHER THAN OWNER President
ADDRESS OF PERSON SIGNING No. 100, Shih-Chuan 1st Road, Kaohsiung, Taiwan
SIGNATURE  DATE Feb. 10, 2004

Applicant or Patentee : 1. Shyng-Shiou YUAN 2. Hseng-Kuang HSU 3. Yi-Chen CHIA Attorney's
Serial or Patent No. : _____ Docket No. 624-032551
Filed or Issued : _____
For : EXTRACT FROM THE LEAVES OF TOONA SINENSIS ROEM., AND THE PREPARATION PROCESS
AND USES THEREOF

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (b)) —INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37CFR 1.9 (c) for purposes of paying reduced fees under section 41 (a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled EXTRACT FROM THE LEAVES OF TOONA SINENSIS ROEM., AND THE PREPARATION PROCESS AND USES THEREOF described in :

(☒) the specification filed herewith
() application serial no. _____, filed _____
() patent no. _____, issued _____

I have not assigned, granted, conveyed, or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (e) .

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below :

() no such person, concern, or organization
(X) persons, concerns or organizations listed below*

*Note : Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

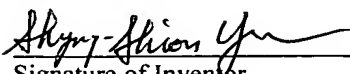
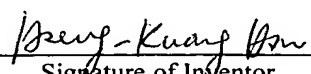
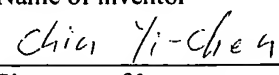
FULL NAME KAOHSIUNG MEDICAL UNIVERSITY
ADDRESS No. 100, Shih-Chuan 1st Road, Kaohsiung, Taiwan
() INDIVIDUAL () SMALL BUSINESS CONCERN (X) NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

<u>Shyng-Shiou YUAN</u>	<u>Hseng-Kuang HSU</u>	<u>Yi-Chen CHIA</u>
Name of inventor	Name of inventor	Name of inventor
		
Signature of Inventor	Signature of Inventor	Signature of Inventor
<u>Feb. 10, 2004</u>	<u>Feb. 10, 2004</u>	<u>Feb. 10, 2004</u>
Date	Date	Date

DECLARATION FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

EXTRACT FROM THE LEAVES OF TOONA SINENSIS ROEM., AND THE PREPARATION PROCESS AND USES THEREOF

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____ as United States Application Number _____
or PCT International Application Number _____
and was amended on _____
(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b), of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application, having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
92125523	Taiwan	16/Sept./2003	<input type="checkbox"/>	<input checked="" type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)
_____ (Application Number)	_____ (Filing Date)

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT International application designating the United States listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application or PCT International application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

(Application Serial No.)	(Filing Date)	(Status - patented, pending, abandoned)
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I (we) hereby appoint the following as our representative(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Please see attachment


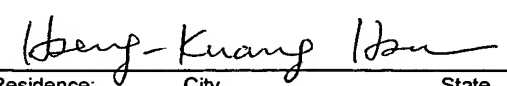
Send Correspondence to:

WEBB ZIESENHEIM LOGSDON ORKIN & HANSON P.C.
700 KOPPERS BUILDING
436 SEVENTH AVENUE
PITTSBURGH, PA 15219-1818, U. S. A.

Direct Telephone Calls to:

Mr. Russell D. Orkin 412-471-8815

I (we) hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Shyng-Shiou YUAN					Feb. 10, 2004	
Inventor's signature						Date
						
Residence	City	State	Country	Citizenship		
Kaohsiung, Taiwan				Taiwan		
Mailing Address						
No. 100, Shih-Chuan 1 st Road, Kaohsiung, Taiwan						
Full name of second joint inventor, if any Hseng-Kuang HSU						
Second Inventor's signature						Date
						Feb. 10, 2004
Residence	City	State	Country	Citizenship		
Kaohsiung, Taiwan				Taiwan		
Mailing Address						
No. 100, Shih-Chuan 1 st Road, Kaohsiung, Taiwan						

(F-66 A4)

☐

Additional inventors are being named on separately numbered sheets attached hereto.

Full name of third joint inventor, if any Yi-Chen CHIA				
Third Inventor signature <i>Chia Yi-Chen</i>				Date Feb. 10, 2004
Residence:	City	State	Country	Citizenship
Pingtung County 907, Taiwan			Taiwan	
Mailing Address No. 20, Wei-Shin Rd., Shin-Ell Tsun, Yan-Puu Hsiang, Pingtung County 907, Taiwan				
Full name of fourth joint inventor, if any				
Fourth Inventor's signature				Date
Residence:	City	State	Country	Citizenship
Mailing Address				
Full name of fifth joint inventor, if any				
Fifth Inventor's signature				Date
Residence:	City	State	Country	Citizenship
Mailing Address				
Full name of sixth joint inventor, if any				
Sixth Inventor's signature				Date
Residence:	City	State	Country	Citizenship
Mailing Address				
Full name of seventh joint inventor, if any				
Seventh Inventor's signature				Date
Residence:	City	State	Country	Citizenship
Mailing Address				